



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION EXAMINING OPERATIONS

Applicant: Shawn Stapleton

Group Art Unit:

Serial No.: 10/003,725

Examiner:

Filed : October 30, 2001

Title : HIGH EFFICIENCY POWER AMPLIFIER SYSTEMS AND METHODS

COPY OF PAPERS
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RESPONSE

In response to the Notice to File Missing Parts of Nonprovisional Application mailed January 3, 2002, applicant submits:

The statutory basic filing fee of \$370;

Total additional claim fees of \$535, of which \$495 is for 55 total claims over 20, and \$42 for one independent claim over 3;

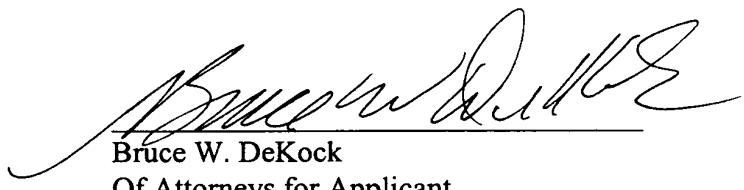
A signed Declaration and Combined Power of Attorney;

A late filing of \$65; and

Eight (8) sheets of substitute drawings.

Applicant hereby claims small entity status.

Dated this 16th day of January, 2002.



Bruce W. DeKock
Of Attorneys for Applicant

O I P E
FEB 12 2002
U.S. PATENT & TRADEMARK OFFICE
SEARCHED

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/003,725
		Filing Date	10/30/01
		First Named Inventor	Shawn Stapleton
		Group Art Unit	N/A
		Examiner Name	N/A
Total Number of Pages in this Submission		Attorney Docket Number	BWD:2353.005

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee transmittal <input checked="" type="checkbox"/> Fee attached form <input type="checkbox"/> Amendment <input type="checkbox"/> After Final/ Response <input checked="" type="checkbox"/> Affidavits/Declaration(s)(and Power of Attorney) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing Related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosures (identify below) <p>Our Check in the amount of \$1012 Confirmation postcard.</p>
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

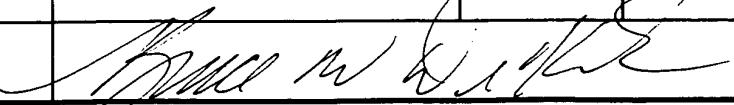
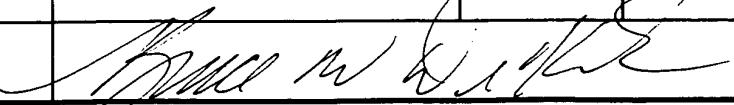
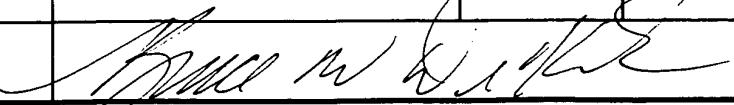
Firm or Individual Name	Bruce W. DeKock, of Chernoff, Vilhauer, McClung & Stenzel, LLP
Signature	
Date	January 16, 2002

CERTIFICATE OF MAILING

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C., 20231

with sufficient postage as first class mail
 as "Express Mail Post Office to Addressee" - mailing label no. _____

Type or print name	Bruce W. DeKock		
Signature		Date	January 16, 2002

FEE TRANSMITTAL for FY 2002		<i>Complete if Known</i> Application Number 10/003,725 Filing Date October 30, 2001 First Named Inventor Examiner Name Group/ Art Unit <i>COPY OF PAPERS ORIGINALLY FILED</i>																																																																																																																																																																																																										
<small>TOTAL AMOUNT OF PAYMENT</small> \$1,012		<small>Attorney Docket No.</small> BWD:2353.005																																																																																																																																																																																																										
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to: Deposit Account Number 03-1550 Deposit Account Name Chernoff Vilhauer McClung & Stenzel		3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Large Entity</th> <th style="width: 20%;">Small Entity</th> <th style="width: 60%;"></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td></td><td>65 Surcharge - late filing fee or oath</td><td>65</td></tr> <tr><td>127</td><td>50</td><td>227</td><td></td><td>25 Surcharge-late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td></td><td>130 Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td></td><td>2,520 For filing a request for ex-partee reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td></td><td>920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1840*</td><td>113</td><td></td><td>1840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td></td><td>55 Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td></td><td>200 Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td></td><td>460 Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td></td><td>720 Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td></td><td>980 Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td></td><td>160 Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td></td><td>160 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td></td><td>140 Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td></td><td>1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td></td><td>55 Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td></td><td>640 Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td></td><td>640 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td></td><td>230 Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td></td><td>310 Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td></td><td>130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td></td><td>50 Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td></td><td>180 Submission of Information Disclosure Stmt.</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td></td><td>40 Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td>146</td><td>740</td><td>246</td><td></td><td>370 Filing a submission after final rejection (37 C.F.R. 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td></td><td>370 For each additional invention to be examined (37 C.F.R. 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td></td><td>370 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td></td><td>900 Request for expedited examination of a design application</td><td></td></tr> <tr> <td colspan="2" style="padding: 5px;"> Other (specify) SUBTOTAL (2) \$0 </td> <td colspan="2" style="padding: 5px;"> <small>* Reduced by Basic Filing Fee Paid</small> </td> <td colspan="2" style="padding: 5px;"> SUBTOTAL (3) \$105 </td> </tr> <tr> <td colspan="2" style="padding: 5px;"> SUBMITTED BY </td> <td colspan="4" style="padding: 5px;"> <small>Complete (if applicable)</small> </td> </tr> <tr> <td colspan="2" style="padding: 5px;"> Name (print type) Bruce W. DeKock </td> <td colspan="2" style="padding: 5px;"> Registration No. 40,585 </td> <td colspan="2" style="padding: 5px;"> Telephone (503) 227-5631 </td> </tr> <tr> <td colspan="2" style="padding: 5px;"> Signature  </td> <td colspan="2" style="padding: 5px;"></td> <td colspan="2" style="padding: 5px;"> Date January 16, 2002 </td> </tr> </tbody></table>		Large Entity	Small Entity		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	105	130	205		65 Surcharge - late filing fee or oath	65	127	50	227		25 Surcharge-late provisional filing fee or cover sheet		139	130	139		130 Non-English specification		147	2,520	147		2,520 For filing a request for ex-partee reexamination		112	920*	112		920* Requesting publication of SIR prior to Examiner action		113	1840*	113		1840* Requesting publication of SIR after Examiner action		115	110	215		55 Extension for reply within first month		116	400	216		200 Extension for reply within second month		117	920	217		460 Extension for reply within third month		118	1,440	218		720 Extension for reply within fourth month		128	1,960	228		980 Extension for reply within fifth month		119	320	219		160 Notice of Appeal		120	320	220		160 Filing a brief in support of an appeal		121	280	221		140 Request for oral hearing		138	1,510	138		1,510 Petition to institute a public use proceeding		140	110	240		55 Petition to revive - unavoidable		141	1,280	241		640 Petition to revive - unintentional		142	1,280	242		640 Utility issue fee (or reissue)		143	460	243		230 Design issue fee		144	620	244		310 Plant issue fee		122	130	122		130 Petitions to the Commissioner		123	50	123		50 Processing fee under 37 CFR 1.17(q)		126	180	126		180 Submission of Information Disclosure Stmt.		581	40	581		40 Recording each patent assignment per property (times number of properties)	40	146	740	246		370 Filing a submission after final rejection (37 C.F.R. 1.129(a))		149	740	249		370 For each additional invention to be examined (37 C.F.R. 1.129(b))		179	740	279		370 Request for Continued Examination (RCE)		169	900	169		900 Request for expedited examination of a design application		Other (specify) SUBTOTAL (2) \$0		<small>* Reduced by Basic Filing Fee Paid</small>		SUBTOTAL (3) \$105		SUBMITTED BY		<small>Complete (if applicable)</small>				Name (print type) Bruce W. 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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,725	10/30/2001	Shawn Stapleton	BWD:2353.999

CONFIRMATION NO. 2647

FORMALITIES LETTER



OC00000007254698

Bruce W. DeKock/Chernoff, Vilhauer,
McClung & Stenzel, LLP
Suite 1600
601 S.W. Second Avenue
Portland, OR 97204

Date Mailed: 01/03/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/15/2002 SDIRETA1 00000111 10003725

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 0P
02 FC:205 65.00 0P
03 FC:203 495.00 0P
04 FC:202

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1092.
 - \$1008 for 56 total claims over 20.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1962.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

03/05/2002 SSALEEKU 00000024 031550 10003725

01 FC:203 9.00 CH

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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